



INDIANA ENVIRONMENTAL STEWARDSHIP PROGRAM ANNUAL PERFORMANCE REPORT

State Form 53475 (R5 / 2-17)
INDIANA DEPARTMENT OF ENVIRONMENTAL MANAGEMENT
ENVIRONMENTAL STEWARDSHIP PROGRAM

Indiana Department of Environmental Management
Office of Program Support
MC 64-00, Room IGCN 1316
100 North Senate Avenue
Indianapolis, IN 46204-2251
Telephone: (800) 988-7901
FAX: (317) 233-5627
E-mail: esp@idem.IN.gov

Please use this form if you are a member of the Indiana Environmental Stewardship Program (ESP) to report on progress toward objectives and targets AND certify ESP requirements continue to be achieved. Indiana ESP facilities must submit an Annual Performance Report (APR) by April 1st of every year, for each calendar year in which the entity has been a member for at least three (3) full months. Membership terms are renewed every four (4) years through submitting your APR. Your APR should be reviewed and signed by a senior manager at your facility prior to submittal. Once signed, e-mail the APR to IDEM at esp@idem.IN.gov. Please do not include any confidential business information in your annual performance report. Public access laws require IDEM to make the APR publicly available, which may include posting all portions of your report on the Indiana ESP Web site. If you have any questions, please contact IDEM at esp@idem.IN.gov or (800) 988-7901.

SECTION A FACILITY INFORMATION		
Name of facility DePuy Synthes, Inc		
Name of parent company (if applicable) Johnson & Johnson		
Street address (number and street) 700 Orthopaedic Drive		
City / State / ZIP code Warsaw, In 46765		
Website of facility / company www.jnj.com		
CONTACT INFORMATION		
Name of Contact (Mr. / Mrs. / Ms. / Dr.) Brett Allwine		Title EHS&S Manager
Telephone number (574) 372-7043	FAX number (574) 372-7018	E-mail address ballwine@its.jnj.com
Mailing address (if different from facility address) Same as facility		
City / State / ZIP Code Same as facility		
REPORTING PERIOD		
Reporting period dates (mm/dd/yyyy – mm/dd/yyyy) January 1, 2017- December 31, 2017		
1a. Is this the fourth Annual Performance Report of your membership term? <input type="checkbox"/> Yes—If yes, answer question 1b. <input checked="" type="checkbox"/> No—If no, skip to the "Change in Information" section of this report.		
1b. Do you wish to renew your Indiana Environmental Stewardship Program membership? <input type="checkbox"/> Yes—If yes, please complete all sections of this annual report. <input type="checkbox"/> No—If no, please complete all sections of this annual report except for Section F.		
CHANGE IN INFORMATION		
In your ESP application and, perhaps, in previous annual performance reports, you described what your facility does or makes. Have there been any changes or additions to your facility's list of products or activities? <input type="checkbox"/> Yes—If yes, please describe them: _____ <input checked="" type="checkbox"/> No		

SECTION B PUBLIC OUTREACH AND PERFORMANCE REPORTING	
Why do we need this information? IDEM needs to know how environmental information was shared with the public.	What do you need to do? Describe how the facility has shared and plans to share environmental information.
Please briefly describe the activities that your facility conducted during this reporting period to interact with the community on environmental issues and to report publicly on its environmental performance. NA	
Please indicate which of the following methods your facility plans to use to make its ESP Annual Performance Report available to the public. Please check as many as appropriate.	
<input type="checkbox"/> Web site (http://www.) <input type="checkbox"/> Open house <input type="checkbox"/> Meetings <input type="checkbox"/> Press releases <input checked="" type="checkbox"/> Other <u>Upon request</u>	

SECTION C

ENVIRONMENTAL MANAGEMENT SYSTEM ASSESSMENT

Why do we need this information?

Facilities need to have implemented an EMS that meets certain criteria and use an ISO 14001 EMS Lead Auditor at least every thirty-six (36) months to assess the EMS.

What do you need to do?

Answer the following questions about your EMS.

1.	What is the most recent date that an ISO 14001 EMS Lead Auditor performed an EMS assessment at your facility? <u>March 20-22, 2018</u>																																		
2.	Name, title, and organization of ISO 14001 EMS Lead Auditor who conducted the most recent EMS assessment: _____ Hans-Peter Krahn, ERM CVS Lead Assessor, ERM CVS																																		
3.	<p>Is the date of the most recent EMS assessment performed by an ISO 14001 EMS Lead Auditor within the past thirty-six (36) months?</p> <p><input checked="" type="checkbox"/> Yes—If yes, skip to Question 4.</p> <p><input type="checkbox"/> No—If no, please have your ISO 14001 EMS Lead Auditor complete and sign the following checklist, indicating whether or not your EMS meets the listed criteria for ESP membership:</p> <table style="width: 100%;"><tr><td style="width: 10%;"><input type="checkbox"/> Yes</td><td style="width: 10%;"><input type="checkbox"/> No</td><td>Evidence of senior management support, commitment, and approval.</td></tr><tr><td><input type="checkbox"/> Yes</td><td><input type="checkbox"/> No</td><td>A written environmental policy directed toward compliance, pollution prevention, and continuous improvement.</td></tr><tr><td><input type="checkbox"/> Yes</td><td><input type="checkbox"/> No</td><td>Identification of the environmental aspects at the entity.</td></tr><tr><td><input type="checkbox"/> Yes</td><td><input type="checkbox"/> No</td><td>Prioritization of the environmental aspects and a determination of those aspects deemed significant considering, at the minimum, environmental impacts and applicable laws and regulations.</td></tr><tr><td><input type="checkbox"/> Yes</td><td><input type="checkbox"/> No</td><td>Established priorities, and environmental objectives and targets for continuous improvement in environmental performance and for ensuring compliance with applicable environmental laws, regulations, and permit conditions. Objectives and targets must go beyond current legal requirements and specify the environmental media, types of pollution to be prevented or reduced, implementation activities, and projected time frames.</td></tr><tr><td><input type="checkbox"/> Yes</td><td><input type="checkbox"/> No</td><td>An established community outreach mechanism that includes identifying and responding to community concerns; informing the community of important matters that affect the community; and reporting on the EMS, including reporting to the public on the environmental policy and significant aspects.</td></tr><tr><td><input type="checkbox"/> Yes</td><td><input type="checkbox"/> No</td><td>Incorporation of environmental and pollution prevention planning in the development of new products, processes, and services and modifications of existing processes.</td></tr><tr><td><input type="checkbox"/> Yes</td><td><input type="checkbox"/> No</td><td>Evidence of clear responsibility for implementation, training, monitoring, EMS maintenance, taking corrective action, and ensuring compliance with applicable environmental laws, regulations, and permit conditions.</td></tr><tr><td><input type="checkbox"/> Yes</td><td><input type="checkbox"/> No</td><td>Documentation of the implementation procedures and the results of implementation.</td></tr><tr><td><input type="checkbox"/> Yes</td><td><input type="checkbox"/> No</td><td>Appropriate written EMS procedures.</td></tr><tr><td><input type="checkbox"/> Yes</td><td><input type="checkbox"/> No</td><td>An annual evaluation of the EMS with written results provided to senior management and affected employees.</td></tr></table>		<input type="checkbox"/> Yes	<input type="checkbox"/> No	Evidence of senior management support, commitment, and approval.	<input type="checkbox"/> Yes	<input type="checkbox"/> No	A written environmental policy directed toward compliance, pollution prevention, and continuous improvement.	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Identification of the environmental aspects at the entity.	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Prioritization of the environmental aspects and a determination of those aspects deemed significant considering, at the minimum, environmental impacts and applicable laws and regulations.	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Established priorities, and environmental objectives and targets for continuous improvement in environmental performance and for ensuring compliance with applicable environmental laws, regulations, and permit conditions. 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<div style="display: flex; justify-content: space-between;">Signature of ISO 14001 EMS Lead AuditorDate (month, day, year)</div>																																			
4.	<p>Were any deficiencies found during the most recent EMS assessment?</p> <p><input type="checkbox"/> Yes—If yes, describe any deficiencies found and the corrective action taken to address each deficiency: _____</p> <p><input checked="" type="checkbox"/> No</p>																																		
5.	<p>What type of protocol was used to perform the independent EMS assessment?</p> <p><input checked="" type="checkbox"/> ISO 14001:2015 Certified audit</p> <p><input type="checkbox"/> ISO 14001:2004 Certified audit</p> <p><input type="checkbox"/> ESP Independent Assessment Protocol</p> <p><input type="checkbox"/> Other (please specify): _____</p>																																		
6.	<p>Is the EMS certified to a recognized standard?</p> <p><input checked="" type="checkbox"/> Yes—If yes, what standard does the EMS follow (please provide a copy of the most recent certificate)?</p> <table style="width: 100%;"><tr><td style="width: 10%;"><input checked="" type="checkbox"/></td><td style="width: 10%;"></td><td>ISO 14001:2015</td></tr><tr><td><input type="checkbox"/></td><td></td><td>ISO 14001:2004</td></tr><tr><td><input type="checkbox"/></td><td></td><td>Responsible Care EMS</td></tr><tr><td><input type="checkbox"/></td><td></td><td>Responsible Care 14001</td></tr></table> <p><input type="checkbox"/> No</p>		<input checked="" type="checkbox"/>		ISO 14001:2015	<input type="checkbox"/>		ISO 14001:2004	<input type="checkbox"/>		Responsible Care EMS	<input type="checkbox"/>		Responsible Care 14001																					
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SECTION C

ENVIRONMENTAL MANAGEMENT SYSTEM ASSESSMENT
CONTINUED

7. When was the last Senior Management review of your EMS completed?

Month / Year: December 2017Who headed the review (name and title)? Craig Evans, EHS&S Manager

8. When did your facility last conduct an internal or corporate environmental compliance audit? Do not include inspections or site visits by regulatory organizations.

Scope of the compliance audit: Management System/EnvironmentalMonth(s) / Year(s): March 2017Who conducted the audit(s) (e.g., facility staff, corporate, third party)? Corporate and third party simultaneously

9. Explain the emergencies experienced within the facility during the past year. Were the applicable emergency and contingency plans detailed in the EMS effective? What changes, if any, have been made to your facility's emergency or contingency plans?

No emergencies occurred. Changes to the facility's contingency plan includes recent updates to the emergency communication information including updates to the incident commanders/on scene managers, spill response coordinators and spill responders

10. Has your facility corrected all instances of potential environmental non-compliance and EMS non-conformance identified during your audits and other assessments?

☒ Yes—If yes, briefly summarize corrective actions taken and other improvements made as a result of your EMS assessment(s) or compliance audit(s).5 minor weaknesses in 2017. enhanced context of organization, clarified scope, conducted trainingon responsibilities, enhanced emergency response process, enhanced CAPA process☐ No—If no, please explain your plans to correct these instances.☐ No such instances identified.

SECTION D

ADDITIONAL INFORMATION

Why do we need this information?

This information will help IDEM to effectively manage the Environmental Stewardship Program.

What do you need to do?

Answer the questions as completely as possible.

1. In addition to ESP, please list environmental awards received or voluntary programs participated in during the past twelve (12) months.

None

2. Has your facility taken advantage of any ESP incentives? If so, please describe the implementation process and list additional benefits IDEM should consider.

Yes, when possible site is able to utilize assignment of same permit writer for modifications and receives advanced announcement of routine inspections.

3. If your facility was not registered to the ISO 14001 standard prior to becoming an ESP member, has ESP helped you to pursue registration? If so, how has ESP been instrumental in achieving registration?

NA

SECTION E

ENVIRONMENTAL IMPROVEMENT INITIATIVE RESULTS

Why do we need this information?

Facilities need to share the results of the environmental improvement initiative that was pursued during the reporting period. IDEM needs to report cumulative program reduction results.

What do you need to do?

Reference Section F for "Category" and "Indicator" options to complete this section. Summarize your facility's progress on achieving the initiative you identified in the application or last year's APR. For assistance, please call (800) 988-7901 or email esp@idem.IN.gov.

Initiative #1

Category 1: <u>Energy Use</u> Indicator 1: <u>Electricity</u>	Baseline (indicate measurement unit)	Current (indicate measurement unit)	Cost Savings
Calendar year	2016	2017	NA
Actual quantity (per year)	23,404,548 kWh	22,030,236 kWh	NA
Production unit (select one)	Earned Labor Hours Production units X Production lbs. Other -- specify (e.g. Gallons, length, etc.)		
Production Quantity	1,207,000	1,288,000	NA

Normalization factor (Current year production ÷ Baseline year production) 1.067Normalized quantity (Actual current year quantity - Actual baseline quantity) x Normalization factor -1,466,389.77

Briefly describe how you achieved improvements for this environmental initiative or, if relevant, any circumstances that delayed progress.

SECTION E ENVIRONMENTAL IMPROVEMENT INITIATIVE RESULTS CONTINUED			
Initiative #2			
Category 2: _____ Indicator 2: _____	Baseline (indicate measurement unit)	Current (indicate measurement unit)	Cost Savings
Calendar year			
Actual quantity (per year)			
Production unit (select one)	Earned Labor Hours Production units Production lbs. Other – specify (e.g. Gallons, length, etc.)		
Production Quantity			NA
Normalization factor (Current year production + Baseline year production)			
Normalized quantity (Actual current year quantity - Actual baseline quantity) x Normalization factor			
Briefly describe <i>how</i> you achieved improvements for this environmental initiative or, if relevant, any circumstances that delayed progress.			
Initiative #3			
Category 3: _____ Indicator 3: _____	Baseline (indicate measurement unit)	Current (indicate measurement unit)	Cost Savings
Calendar year			
Actual quantity (per year)			
Production unit (select one)	Earned Labor Hours Production units Production lbs. Other -- specify (e.g. Gallons, length, etc.)		
Production Quantity			NA
Normalization factor (Current year production + Baseline year production)			
Normalized quantity (Actual current year quantity - Actual baseline quantity) x Normalization factor			
Briefly describe <i>how</i> you achieved improvements for this environmental initiative or, if relevant, any circumstances that delayed progress. Replaced fluorescent lighting with LED lighting.			
1. Briefly describe the <i>impacts or wastes</i> eliminated resulting from the environmental initiative(s). If multiple initiatives, please indicate which specifically. Ultimately less greenhouse gas emissions produced by NIPSCO as a result of kWh reductions at the DePuy Synthes Warsaw plant.			
2. Are there other best management practices (BMPs) you can share correlating to your initiative(s)? NA			
3. If the objectives and targets associated with the environmental improvement initiative(s) were not attained, please verify continued progress toward the environmental initiative(s). If multiple initiatives, please indicate which specifically. NA			
4. Please provide a narrative summary of progress made toward <i>qualitative, significant</i> EMS objectives and targets, if any. NA			
5. Please list any state, U.S. EPA, or other partnership programs to which you are reporting this data (e.g., Energy Star, Project XL). NA			
6. Is your entity willing to share the environmental improvement initiative(s) and its best management practices (BMPs) at the ESP Annual Meeting and/or a Partners for Pollution Prevention quarterly meeting or conference? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			

SECTION F

ENVIRONMENTAL IMPROVEMENT INITIATIVE

Why do we need this information?

Facilities need to show they are committed to improving their environmental performance.

What do you need to do?

Refer to the Environmental Performance Table and answer the following questions.

1. Select the appropriate boxes in the following table to indicate the category and indicator(s) that represents the environmental improvement initiative selected by your facility. For the category and indicator selected, list the baseline year (e.g., 2015) and the future year (e.g., 2016). Next, list the baseline annual quantity (e.g., 5 tons) and future annual quantity (e.g., 2 tons) you are committing to achieve by the end of the future year.

Category	Indicator	Baseline Year 20 17	Future Year 20 18	Unit
<input type="checkbox"/> Material Procurement	<input type="checkbox"/> Recycled content			Pounds, tons
	<input type="checkbox"/> Hazardous/toxic components			Pounds, tons
<input type="checkbox"/> Suppliers' Environmental Performance	<input type="checkbox"/> Specify indicator: _____			As specified for the particular indicator
<input type="checkbox"/> Material Use	<input type="checkbox"/> Materials used			Pounds, tons
	<input type="checkbox"/> Hazardous materials used			Pounds, tons
	<input type="checkbox"/> Ozone depleting substances used			CFC-11 equivalent pounds
	<input type="checkbox"/> Total packaging materials used			Pounds, tons
<input type="checkbox"/> Water Use	<input type="checkbox"/> Total water used			Gallons
<input type="checkbox"/> Energy Use	<input type="checkbox"/> Electricity			kWh / MWh, Btu / MMBtu
	<input type="checkbox"/> Steam			kWh / MWh, gallons, ft ³
	<input type="checkbox"/> Natural gas			Btu / MMBtu
	<input type="checkbox"/> Diesel			Gallons
	<input type="checkbox"/> Propane / LPG			Btu / MMBtu, gallons
	<input type="checkbox"/> Gasoline			Gallons
	<input type="checkbox"/> Solar			kWh / MWh
	<input type="checkbox"/> Wind			kWh / MWh
	<input type="checkbox"/> Landfill gas			Btu / MMBtu
	<input type="checkbox"/> Combined heat and power			kWh / MWh, Btu / MMBtu
	<input type="checkbox"/> Other: _____			_____
<input type="checkbox"/> Land and Habitat	<input type="checkbox"/> Land and habitat conservation			Square feet, acres
	<input type="checkbox"/> Community land revitalization			Square feet, acres
<input type="checkbox"/> Air Emissions	<input type="checkbox"/> Total GHGs			MTCO ₂ E
	<input type="checkbox"/> VOCs			Pounds, tons
	<input type="checkbox"/> NO _x , SO _x , PM _{2.5} , PM ₁₀ , or CO			Pounds, tons
	<input type="checkbox"/> Air toxics			Pounds, tons
	<input type="checkbox"/> Odor			European Odour Units
	<input type="checkbox"/> Radiation			Curies, Becquerels
	<input type="checkbox"/> Dust			Pounds, tons
<input type="checkbox"/> Discharges to Water	<input type="checkbox"/> COD or BOD			Pounds, tons
	<input type="checkbox"/> Toxics			Pounds, tons
	<input type="checkbox"/> Total suspended solids			Pounds, tons
	<input type="checkbox"/> Nutrients			Pounds, tons of N or P
	<input type="checkbox"/> Sediment from runoff			Pounds, tons
	<input type="checkbox"/> Pathogens			MPN/ml, CFU/ml
<input checked="" type="checkbox"/> Non-hazardous Waste	<input checked="" type="checkbox"/> Landfill	494500 pounds	484610 pounds	Pounds, tons
<input type="checkbox"/> Hazardous Waste	<input type="checkbox"/> Incineration			Pounds, tons
	<input type="checkbox"/> Reused/recycled off-site			Pounds, tons, gallons
	<input type="checkbox"/> Other: _____			Pounds, tons, gallons
<input type="checkbox"/> Noise	<input type="checkbox"/> Noise			dBA
<input type="checkbox"/> Vibration	<input type="checkbox"/> Vibration			Inches per second
<input type="checkbox"/> Products	<input type="checkbox"/> Expected lifetime energy use			kWh / MWh, Btu / MMBtu
	<input type="checkbox"/> Expected lifetime water use			Gallons
	<input type="checkbox"/> Expected lifetime waste to air, water, or land from product use			Pounds, tons
	<input type="checkbox"/> Waste to air, water, or land from disposal or recovery			Pounds, tons

If you need assistance filling out the form, please contact the ESP program manager at either esp@idem.in.gov or 1-(800) 988-7901.

SECTION F

FUTURE YEAR ENVIRONMENTAL IMPROVEMENT INITIATIVE

CONTINUED

2. If the environmental improvement initiative(s) will be *qualitative* in nature, please describe. _____
3. What activities or process changes do you plan to undertake at your facility to accomplish your initiative (e.g., technology changes in a particular process line, employee training)? _____

Expand scope of recycling program to find additional waste materials that can be recycled at facility versus sending to landfill.

4. Does this initiative address a significant aspect in your EMS?

☒ Yes

☐ No—If no, please explain why you believe this indicator should be included as an environmental improvement initiative: _____

CERTIFICATION AND PLEDGE

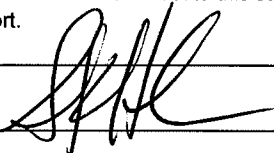
On behalf of (name of facility) DePuy Synthes, Inc.

I certify that the information contained in this Annual Performance Report and attachments is accurate to the best of my knowledge and that this facility is, to the best of my knowledge and based on reasonable inquiry, currently in compliance with all applicable federal, state, and local environmental requirements, or has a corrective action program in place to attain compliance.

We, DePuy Synthes, Inc., commit to maintaining the principles and goals outlined in our Environmental Management System for our facility's Indiana Environmental Stewardship Program status. We agree to strive for full compliance with all regulations promulgated by the U.S. EPA, state, or local jurisdictions. We agree to promote the Indiana Environmental Stewardship Program and to share our success stories with other facilities. We understand that we must meet the requirement of implementing one (1) new, independent environmental improvement initiative each year of membership (for a total of four (4) initiatives), that the Annual Performance Report must be submitted to IDEM by April 1st of each year, and that we must reapply to the Indiana Environmental Stewardship Program every four (4) years.

I understand that the information provided in this Annual Performance Report will be public record. I am the senior facility manager or authorized facility signatory, and fully authorized to execute this statement on behalf of the corporation or other legal entity whose facility is submitting this Annual Performance Report.

Signature



Date (month, day, year)

MAR 29 2018

Printed signature

Steve Helser

Title

Plant Manager

March 29, 2018

Indiana Department of Environmental Management
Office of Pollution Prevention and Technical Assistance
Environmental Stewardship Program

Subject: ESP Annual Performance Report

To Whom It May Concern,

The attached 2017 ESP Annual Performance Report (APR) documents the results of DePuy's Environmental Improvement Initiative toward reducing electrical energy (kWh) used at this site for 2017. Additionally, it includes DePuy's 2018 Environmental Improvement Initiative goal of a 2% reduction in non-hazardous waste sent to landfill from this site. A copy of DePuy's most recent ISO 14001 certification is attached as well, per the request embedded in Section C, question 6, of the APR report. Due to the date on which DePuy was scheduled to have its 2018 ISO 14001 assessment, DePuy's most recent available revision of the ISO 14001 Certificate of Registration is dated 28-July-2017. Should the 2018 revision be requested, DePuy will provide a copy once the certificate is received.

Please contact me directly if you have any questions regarding this submission or our renewal application.

Sincerely,



Brett Allwine, CSP
EHS&S Manager
ballwine@its.jnj.com

ISO 14001

Certificate of Registration

ERM Certification and Verification Services

2nd Floor
Exchequer Court
33 St. Mary Axe
London EC3A 8AA
Tel: +44 (0)20 3206 5281
Fax: +44 (0)20 3206 5442
Email post@ermcvs.com

This is to certify that

DePuy Synthes Joint Reconstruction

ERM CVS

at

*700 Orthopaedic Drive
Warsaw, IN 46581-0988*

Certificate Number: 261
Initial Issue Date: 01 April 2003
Revision Date: 28 July 2017
Expiry Date: 10 July 2020
Version #: 8

has been registered to ISO 14001:2015 for

*Research, development, manufacturing and related
support activities for orthopaedic devices at
the Warsaw, Indiana Facility of DePuy
Synthes Joint Reconstruction*



067

Signed on behalf of ERM CVS by:

Jeff Rose
Head of Certification

This certificate is the property of ERM Certification and Verification Services Ltd and is issued subject to ERM CVS's Standard Terms and Condition of Business. Its validity may be confirmed by contacting ERM CVS as set out above.

ERM CVS is an independent member of the world-wide Environmental Resources Management Group of Companies